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**FCA（英国金融市场行为监管局）**

**MiFID（欧盟金融工具市场法规）**



官网：[www.fca.org.uk](http://www.fca.org.uk)

**BMFN EAD( FCA 监管牌照编号：550785) 是一家隶属于 BMFN 金融集团的实体公司机构，**

**接受 FCA 及欧盟 MiFID 监管。**

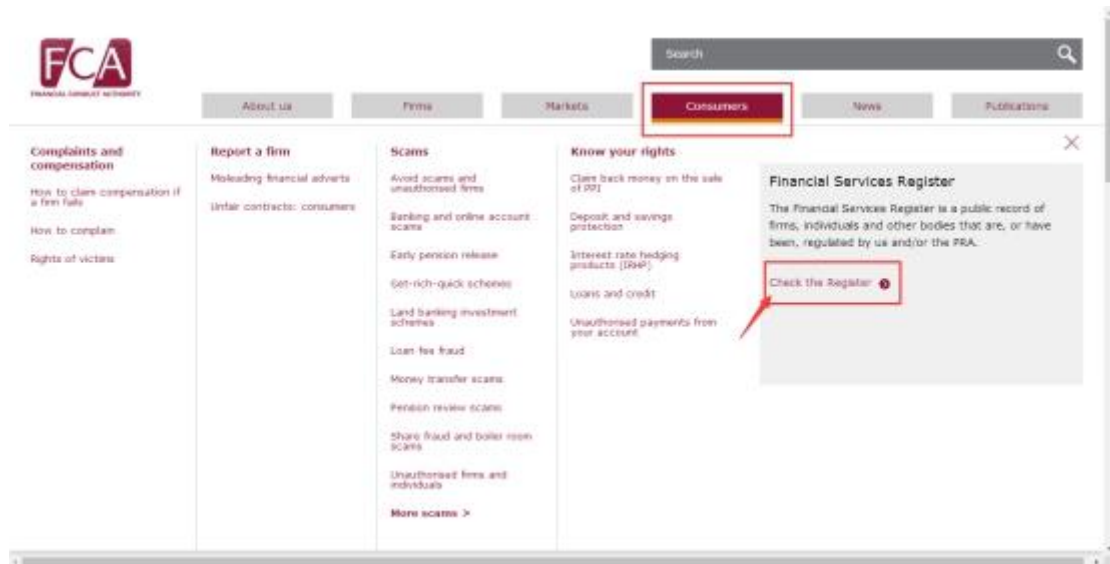
新的监管政策正在持续改变着金融外汇市场，而像 NFA, FCA, MIFID, ASIC 等监管机构也在不断加大其监管力度让整个市场更加透明。BMFN 也在不断强化自身在全世界范围内的监管，就在 2017 年，BMFN 新增了一个新的 FCA 及欧盟的监管牌照。BMFN EAD，接收和处理大部分亚洲业务，既需要严格的监管也需要灵活的交易政策。

正因为 BMFN EAD 公司在接受 FCA 监管的同时还接受欧盟(MiFID)监管，所以如果日后有其中一个监管机构出台了关于限制交易杠杆大小的规定，客户可以不受影响而继续选择更高的交易杠杆和更多的交易产品进行交易，两家监管机构让客户有着适用于这两种监管政策的灵活性。长久以来，BMFN 既接受世界范围认可的机构监管，又选择与欧洲信誉指数极高的银行合作，致力于保证客户资金的高度安全。

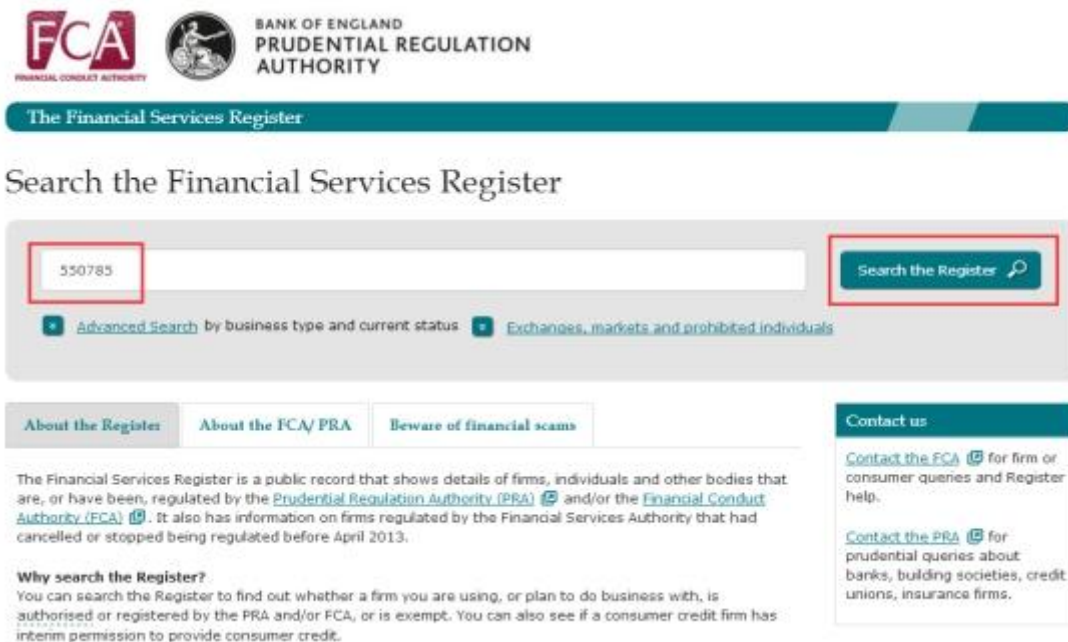
欧盟金融工具市场法规( Markets in Financial Instruments Directive, 简称 MiFID )以及金融监管局( Financial Supervision Commission, 简称 FSC ) 在欧盟所有成员国实施，旨在促进欧盟形成金融工具批发以及零售交易的统一市场，同时在多个方面改善对客户的保护，其中包括增强市场透明度、出台更符合惯例的客户分类规则等。法规和监管局要求平台为客户的指令提供涉及价格、成本、速度等最好的执行结果，并规定公司必须达到与监管市场同等的透明度及明确的报价。

# 如何查询 FCA Financial Conduct Authority 金融市场行为监管局监管 ( 牌照编号 : 550785 ) ?

第一步：打开官网 [www.fca.org.uk](http://www.fca.org.uk)，点开“Consumers”，之后点击“Check the Register”。



第二步：在空挡处输入监管牌照编号：550785，随后点击右方查询



### 第三步：您可在此页面浏览全部监管信息

Status: EEA Authorised

(Reference number: 550785)

This is a firm that is regulated in another European Economic Area (EEA) country that can offer certain products or services in the UK. The firm must meet minimum standards agreed across all EEA countries.

- ✓ The [Financial Ombudsman Service](#) <sup>Ⓔ</sup> may be able to consider a dispute with this firm. Find out [how to complain](#) <sup>Ⓔ</sup>.
- ✓ The compensation scheme in the country this firm is regulated in may be able to compensate customers if this firm fails. Contact the firm for specific details. The Financial Services Compensation Service may also be able to compensate certain customers if the firm fails. Find out [about compensation](#). <sup>Ⓔ</sup>

+ [Contact Details](#)

+ [Basic Details](#)

+ [Regulators](#)

+ [Individuals](#)

+ [Permission](#)

+ [Passport In](#)

- [Trading/brand names](#)

A firm may use several trading names or brand names under the same permission. This list shows the names that have been reported to us, but you may want to contact the firm for full details

- **Current names:**

Status	Name	Effective From
Registered	BMFN EAD	13/01/2017

+ **Previous names:**

第四步，点击“Passport In”，您便能查询到 BMFN 可以从事多种投资类型的金融外汇服务。我们也可以展开这些

投资类型，在 FCA 官方网站上查看到具体 BMFN 可以从事的服务类型。

#### Passport In

A 'passport' enables an authorised firm to provide financial products or services, set up a base, or run its permitted activities in another country in the European Economic Area (EEA). This includes where a firm regulated in another EEA country passports into the UK.

#### BULGARIA |

Country	Directive	Passport Type
BULGARIA	Markets in Financial Instruments Directive	Service
A(1) Reception and transmission of orders in relation to one or more financial instruments + Investment Type		
A(2) Execution of orders on behalf of clients + Investment Type		
A(4) Portfolio management + Investment Type		
A(5) Investment advice + Investment Type		
A(7) Placing of financial instruments without a firm commitment basis + Investment Type		
B(1) Safekeeping and administration of financial instruments for the account of clients, including custodianship and related services such as cash/collateral management + Investment Type		
B(2) Granting credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction + Investment Type		
B(3) Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings + Investment Type		
B(4) Foreign exchange services where these are connected to the provision of investment services + Investment Type		

B(4) Foreign exchange services where these are connected to the provision of investment services

Investment Type

C(1) Transferable securities

C(10) Other contracts

C(2) Money-market instruments

C(3) Units in collective investment undertakings

C(4) Derivatives in Securities, etc

C(5) Commodities Derivatives - Cash Settled

C(6) Commodities Derivatives - Physically Settled

C(7) Non-Commercial commodity Derivatives

C(8) Derivative instruments for the transfer of credit risk

C(9) Financial contracts for differences

可提供以下外汇类金融产品和服务：

✓ 可转让型产品

✓ 货币市场工具

✓ 集体投资事业单位基金

✓ 有价证券衍生品

✓ 商品类衍生品-现金结算

✓ 商品衍生品-实物结算

✓ 非商务性商品衍生品

✓ 信用风险类衍生品

✓ 外汇类金融差价合约



**BMFN**®  
GLOBAL MARKET ACCESS. SERVED LOCALLY.

此外，BMFN 金融集团的客户有机会在经 FCA 机构审核后享受英国金融服务补偿计划 (FSCS)，万一遇到公司破产、客户资金遭到损失的情况，客户有可能获得高达 50,000 英镑的补偿。

您可以点击下方链接登录 FCSC 官网来查询相关金融服务补偿计划：

[http://www.fscs.org.uk/uploaded\\_files/Translated\\_material/fscs\\_web\\_doc\\_simplified\\_chinese\\_2.pdf](http://www.fscs.org.uk/uploaded_files/Translated_material/fscs_web_doc_simplified_chinese_2.pdf)